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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	LI, Mingzhu
Art Unit	
Examiner Name	
Attorney Docket Number	

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,271,408		Teshima	
		US- 4,298,869		Okuno	
		US- 4,321,598		Warner	
		US- 4,329,625		Nishizawa	
		US- 4,367,471		Gillissen	
		US- 4,675,575		Smith et al	
		US- 4,855,880		Mancusi Jr.	
		US- 4,870,547		Crucefix	
		US- 4,939,426		Menard et al	
		US- 4,954,822		Borenstein	
		US- 5,155,669		Yamuro	
		US- 5,187,377		Katoh	
		US- 5,404,282		Klinke et al	
		US- 5,463,280		Johnson	
		US- 5,495,147		Lanzisera	
		US- 5,663,719		Deese et al	
		US- 5,808,592		Mizutani et al	
		US- 5,936,599		Reymond	
		US- 6,344,716 B1		Gibboney, Jr.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. . Box 1450, Alexandria, VA 22313-1450.

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Sheet	2	of	3
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Filing Date

LI, Mingzhu

Art Unit:

Examiner Name

Attorney Docket Number

[illegible][illegible]

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Signature**

Date Considered

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	First Named Inventor	LI, Mingzhu
	Art Unit	
	Examiner Name	
Attorney Docket Number		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		JAMES E. KLOEPPPEL (217-244-1073 / kloepfel@uiuc.edu) "New light-emitting transistor could revolutionize electronics industry",	
		webpage "News Bureau: University of Illinois at Urbana-Champaign" (http://www.news.uiuc.edu/news/04/0105LET.html), University of Illinois at Urbana-Champaign, Urbana, Illinois	

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